

FMA LICENSE CMTA AG

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CMTA AG

- **Category:** WPDL – Investment firms (WPDLU) and securities firms (WPF) authorised in Austria
- **Address:** Schmiedgasse 38 | 8010 Graz | Austria
- **Contact:**
 - **Tel:** [+43 50 2682](tel:+43502682)
 - **Email:** office@cmta.at
 - **Website:** www.cmta.at
- **Legal information:**
 - **Company registration number:**

477532d Licences for CMTA AG – Graz

General licences

Investment firm pursuant to Section 3 of the Austrian Securities Act 2018 (WAG 2018)

Licence held since 7 February 2018

Current scope of licence since 3 January 2024 Investment services pursuant to Section 3(2) of the WAG 2018:

- Investment advice
- Portfolio management
- Receipt and transmission of orders
- Execution of orders on behalf of clients
- Trading on own account
- Placement of financial instruments without a firm commitment to underwrite
- Custody and administration of financial instruments
- Foreign exchange transactions
- Services relating to the underwriting of issues for third parties

Financial instruments pursuant to Section 1(7) of the Austrian Banking Act 2018 (WAG 2018):

- (tokenised) securities
- Money market instruments
- Fund units
- Financial derivatives (securities)
- Credit derivatives

Authorisations:

Contractually bound intermediaries (VGV) – in accordance with Section 1(44) of the Austrian Banking Act 2018 (WAG 2018) Holding of client funds and financial instruments